#### PRIVACY NOTICE

### **Revere Securities Corp. Privacy Notice**

#### December 2018

Revere Securities Corp. believes it is essential that we maintain the privacy of the nonpublic personal information that you provide to us and that we obtain in connection with providing our products and services to you. Revere Securities Corp. has a standing policy of protecting the confidentiality and security of information we collect about our clients. We will not share non-public information about our clients ("Information," as further described below) with third parties, except for the specific purposes described below. This notice describes the Information we may gather and the circumstances under which we may share it.

Revere Securities Corp. limits the use, collection, and retention of such information to what we believe is necessary or useful to conduct our business and to provide and offer you quality products and services, as well as other opportunities that may be of interest to you. Information collected may include, but is not limited to name, address, telephone number, tax identification number, date of birth, employment status, annual income, and net worth.

#### **Information We Collect:**

Information we receive from you on applications or other forms. (i.e. Name, Address, Social Security Number or Tax ID, Financial Information);

Information about your transactions with us, our affiliates, or others (e.g. broker/dealers, clearing firms, or other chosen investment sponsors); and

Information we receive from consumer reporting agencies (e.g. credit bureaus), as well as other various materials we may use to put forth an appropriate recommendation, or to fill a service request.

### **How We Protect Information:**

Revere Securities Corp. places strict limits on who receives specific information about your account(s), and other personally identifiable data. As a rule, we do not disclose nonpublic personal information we collect to others. However, because we rely on certain third parties for services that enable us to provide our advisory services to you, such as our attorneys, auditors, other consultants, brokers, and custodians who, in the ordinary course of providing their services to us, may require access to information, we may share non-public personal information with such third-parties. Additionally, we will share such information where required by legal or judicial process, such as a court order, or otherwise to the extent permitted under the federal privacy laws.

Our employees, registrants or independent contractors are required to protect the confidentiality of information and to comply with our established policies. They may access information only when there is an appropriate reason to do so, such as the execution of transactions on behalf of our clients. We also maintain physical, electronic and procedural safeguards to protect information, which comply with Federal standards. Employees who violate our Privacy Policy are subject to internal disciplinary actions and, as appropriate, legal sanctions. Our employees are instructed, continuously reminded and trained to safeguard all confidential client Information.

We may also disclose such information to others upon your instructions below listing applicable persons and you may amend this provision, and/or rescind your request at any time in writing. Your signature below indicates your understanding and acceptance that we may share such information.

If you decide to close your account(s) or terminate your relationship or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice. Even if you are no longer a client or investor of Revere Securities Corp. and we no longer provide services to you our Privacy Policy will continue to apply to you.

Revere Securities Corp. reserves the right to change these Privacy Principles, and any of the policies or procedures described above, at any time without prior notice. However, you will be promptly provided with a current copy of our privacy notice upon material changes or upon request. These Privacy Principles are for general guidance and do not constitute a contract or create legal rights, and do not modify or amend any agreements we have with you. The examples contained within this Privacy Policy are illustrations and they are not intended to be exclusive. This notice complies with a

recently enacted Federal law and new SEC regulations regarding privacy. You may have additional rights under other foreign or domestic laws that may apply to you.

If you have questions about this privacy policy, or if you wish to amend or rescind your written instructions below at any time, please contact Mr. Charles Slavinsky or compliance@reveresecurities.com. Revere Securities Corp. may share Information with Revere Wealth Management LLC and other affiliates in the ordinary course of business. If you object, please notify us at 212-688-2350 or compliance@reveresecurities.com. You may also notify us by regular mail to Revere Securities LLC, 650 Fifth Avenue, 35<sup>th</sup> Floor, New York, NY 10019.

### IMPORTANT PRIVACY CHOICES FOR CONSUMERS

You have the right to control whether we share some of your personal information. Please read the following information carefully before you make your choices below.

## **Your Rights**

You have the following rights to restrict the sharing of personal and financial information with our affiliates (companies we own or control) and outside companies that we do business with. Nothing in this form prohibits the sharing of information necessary for us to follow the law, as permitted by law, or to give you the best service on your accounts with us. This includes sending you information about some other products or services.

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Your Choices		
<b>Restrict Information Sharing With Co</b> personal and financial information about		• Control (Affiliates): Unless you say "No," we may share d companies.
(_) NO, please do not share personal and financial information with your affiliated companies.		
	share personal and fir	Do Business With To Provide Financial Products And nancial information about you with outside companies we
financial products and services.		with outside companies you contract with to provide
Time Sensitive Reply		
	may share some of y	e(s) marked here will remain unless you state otherwise. our information with affiliated companies and other and services.
Name:		
Account or Policy Number(s):		[to be filled in by consumer]
X Client Signature	Print Name	 Date
XClient Signature (If Joint Account)	Print Name	Date
To exercise your choices please do lone of	of the following:	

To exercise your choices please do [one of] the following:

Fill out, sign and send back this form to Revere Securities LLC, 650 Fifth Avenue, 35th Floor, New York, NY 10019 or you may call this number 212-688-2350 to reply, or by contacting us through email: compliance@reveresecurities.com